By E-mail

December 12, 2012

The Honorable Mary L. Schapiro
Chairman
Securities and Exchange Commission
100 F Street, N.E.
Washington, D.C. 20549-1090

Dear Chairman Schapiro,

On behalf of US SIF: The Forum for Sustainable and Responsible Investment, a membership association of investors, firms, institutions and organizations engaged in sustainable and responsible investing (SRI), I am writing to express our appreciation to you for your work as Chairman of the SEC.

We thank you for your responsiveness to US SIF and our investor members. Over the years, we have actively engaged with you and your staff in the rulemaking process around the Dodd-Frank Wall Street Reform and Consumer Protection Act, including proxy access, Investor Advisory Committee, Office of Investor Advocate, executive compensation, disclosure of conflict minerals and on payments to governments by resource extraction companies. US SIF and its members have submitted petitions on mandatory disclosure of environmental, social and governance (ESG) issues, as well as corporate political contributions disclosure. Additionally, US SIF has strongly advocated for increased funding of the SEC with members of Congress. We have submitted numerous recommendations and petitions and held multiple meeting with you and your staff over the years.

US SIF and its members continue to represent a key and growing constituency for the SEC. Our newly released 2012 Report on Sustainable and Responsible Investing Trends in the United States shows SRI assets in the United States at $3.74 trillion, representing 11.3 percent of the $33.3 trillion in total assets under management tracked by Thomson Reuters Nelson. This is up 22% since year-end 2009 and now more than one out of every nine dollars under professional management in the United States is invested according to strategies of sustainable and responsible investing. US SIF looks forward to working with Commissioner Elisse Walter to continue to protect investors and promote sustainable and responsible investing.
In the meantime, please do not hesitate to contact me at lwoll@ussif.org.

We wish you the very best in your future plans.

Sincerely,

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